

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 11-2000) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 6235-16-DCL
INTERNATIONAL APPLICATION NO. PCT/EP00/07980	INTERNATIONAL FILING DATE August 16, 2000	U.S. APPLICATION NO (IF KNOWN, SEE 37 CFR 107088049
TITLE OF INVENTION PROCESS FOR THE IMPROVEMENT OF THE WATER QUALITY OF MAINTENANCE WATERS		
APPLICANT(S) FOR DO/EO/US RITTER, Gunter		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 		
Items 13 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail 23. <input type="checkbox"/> Other items or information: 		

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/088049	INTERNATIONAL APPLICATION NO. PCT/EP00/07980	ATTORNEY'S DOCKET NUMBER 6235-16-DCL																	
		CALCULATIONS PTO USE ONLY																	
<p>24. The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</p> <table border="0" style="width: 100%;"> <tr> <td style="vertical-align: top; width: 75%;"> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) </td> <td style="vertical-align: bottom; width: 25%; text-align: right;"> \$1040.00 \$890.00 \$740.00 \$710.00 \$100.00 \$890.00 \$0.00 </td> </tr> </table> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p>			<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$1040.00 \$890.00 \$740.00 \$710.00 \$100.00 \$890.00 \$0.00															
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<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 75%; vertical-align: top;"> CLAIMS Total claims 18 - 20 = 0 Independent claims 2 - 3 = 0 </td> <td style="width: 25%; vertical-align: bottom; text-align: right;"> \$18.00 \$84.00 \$0.00 </td> </tr> </table> <p>Multiple Dependent Claims (check if applicable).</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 75%; vertical-align: top;"> TOTAL OF ABOVE CALCULATIONS = </td> <td style="width: 25%; vertical-align: bottom; text-align: right;"> \$890.00 </td> </tr> </table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 75%; vertical-align: top;"> SUBTOTAL = </td> <td style="width: 25%; vertical-align: bottom; text-align: right;"> \$890.00 </td> </tr> </table> <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 75%; vertical-align: top;"> TOTAL NATIONAL FEE = </td> <td style="width: 25%; vertical-align: bottom; text-align: right;"> \$890.00 </td> </tr> </table> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 75%; vertical-align: top;"> TOTAL FEES ENCLOSED = </td> <td style="width: 25%; vertical-align: bottom; text-align: right;"> \$890.00 </td> </tr> </table> <table border="0" style="width: 100%;"> <tr> <td colspan="2" style="text-align: right; padding-right: 10px;">Amount to be: refunded</td> <td style="width: 10px; text-align: right;">\$</td> </tr> <tr> <td colspan="2"></td> <td style="text-align: right;">charged</td> <td style="text-align: right;">\$</td> </tr> </table>			CLAIMS Total claims 18 - 20 = 0 Independent claims 2 - 3 = 0	\$18.00 \$84.00 \$0.00	TOTAL OF ABOVE CALCULATIONS =	\$890.00	SUBTOTAL =	\$890.00	TOTAL NATIONAL FEE =	\$890.00	TOTAL FEES ENCLOSED =	\$890.00	Amount to be: refunded		\$			charged	\$
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<p>a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 23-0450 in the amount of \$890.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0450. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p>Darryl C. Little Warner-Lambert Company 201 Tabor Road Morris Plains, NJ 07950</p> <p>Telephone: 973-385-4401 Facsimile: 973-385-3117</p> </div>																			
 SIGNATURE <p>Darryl C. Little</p> <p>NAME</p> <p>40,703</p> <p>REGISTRATION NUMBER</p> <p>3/11/02</p> <p>DATE</p>																			

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Gunter Ritter

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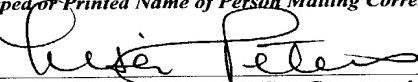
Invention:

PROCESS FOR THE IMPROVEMENT OF THE WATER QUALITY OF MAINTENANCE WATERS

I hereby certify that this Trans. Ltr. to the US Designated/Elected Office filing under 35 U.S.C. 371
(Identify type of correspondence)

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20231-0001 on 3/11/02
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**Process for the improvement of the water quality of
maintenance waters**

The invention concerns individual processes for the improvement of the water quality or correction and adjustment of important chemical water parameters of biological maintenance systems with use of ecologically neutral, chemically- and microbiologically-acting water additives, a combination of several processes for the improvement of the water quality in biological maintenance systems, as well as a single or multiple component product hereby usable.

In biological maintenance systems, e.g. aquaria, aquatic terrains and garden ponds, due to the daily feeding of the fish and other aquatic animals kept therein, it results in cumulative changes of important chemical water parameters and consequently in a continuous impairment of the water quality. From this follows a correspondingly reduced quality of life of the maintained fish and other aquatic animals.

If the starting water, e.g. tap water, possesses a sufficient quality, then, by frequent partial or complete change of water, an impairment of the water quality caused by the maintenance can be countered. The procedure of the water change is laborious and unpleasant for the aquarist, for the maintained fish and other aquatic organisms not without, in part, considerable endangering due to undesired properties

of the fresh starting water, such as for example chlorine or heavy metals.

Consequently, a minimising of the water change frequency and amount would be desirable. When - as described in the present invention - it succeeds to suppress or to eliminate the impairment of the water quality.

In detail, it comes, in biological maintenance systems, to the following changes of important water parameters impairing the water quality. This is countered, in part, by already known measures.

A) An example for such changes is the increase of the phosphate concentration by continuous introduction with the feed. The phosphate increase to values above 10 - 20 mg/l is disadvantageous since the undesired algal growth is promoted by phosphate.

The following measures are known for the phosphate reduction:

a) Binding of phosphate to Al^{3+} and/or Fe^{3+} oxides (hydroxide group-containing granulates) which are introduced into the filter system. Disadvantageous is their limited capacity. After their exhaustion, it is necessary to change the granulates, which is frequently very laborious. If the aquarist does not regularly measure the phosphate content, he will not recognise the exhaustion of the material and the PO_4^{3-} concentration in the maintenance water will

again increase, i.e. the treatment success of this method is frequently only insufficient.

- b) In the case of regular use, the addition of dissolved inorganic Al^{3+} and/or Fe^{3+} salts also leads to a lowering of the PO_4^{3-} concentration.

Disadvantages of this process are:

- high fish toxicity of the dissolved inorganic Al^{3+} and Fe^{3+} salts,
- enrichment of the water with anions, such as e.g. chloride and sulphate,
- reduction of the carbonate hardness, of the HCO_3^- and CO_3^{2-} content and thus
- reduction of the buffer capacity,
- lowering of the pH level and danger of the acid fall at $\text{KH} = 0^\circ \text{ dH}$,
- turbidity of the water and unpleasant flocculation of Al(OH)_3 and FE(OH)_3 .

- B) A further example for the said undesired changes is the increase of the nitrate concentration by continuous introduction of proteins and other sources of nitrogen with the feed. All sources of nitrogen originating from the feed, to the greater part proteins, are oxidised microbially via ammonia and nitrite to nitrate. The continuous nitrite increase represents an unnatural loading of the maintenance water which is undesired for the aquarist. Frequently, the nitrate content of the starting water is already so high, e.g. at 25 - 50 mg/l, so that the natural NO_3^- concentration of a few mg/l is never achievable by change of water.

The following measures are known for the lowering of the nitrate content:

- a) Lowering of the nitrate content by anion exchangers, mostly in chloride form. Disadvantageous is hereby the replacement of the nitrate ions by the loading anions of the exchanger, mostly chloride, and the replacement of sulphate and hydrogen carbonate ions. Besides the undesired lowering of the carbonate hardness, the chemical water composition is completely changed.
- b) Denitrification in anaerobic medium or in anaerobic reactors. By introduction of practically insoluble, biologically decomposable organic, nitrogen-free material in granulate form into the filter system, by means of strong O₂ provisions, anaerobic regions are provided in which nitrate, as source of oxygen, is reduced to N₂. Disadvantageous is:
 - the uncertain dosing,
 - the uncertain process control and process controllability,
 - the sulphate reduction to be expected in the case of small NO₃⁻ concentrations to highly toxic hydrogen sulphide.
- C) The lowering of the carbonate hardness caused by nitrification forms a further example for the mentioned undesired water changes. The oxidation of the continuously supplied organic nitrogen proceeds via the oxidation of ammonia to nitrite made possible by nitrifying bacteria. In the case of this biological process, one mol H⁺ ions

result per mol of ammonia. The liberated H⁺ ions react with bases present, mostly hydrogen carbonate as former of the carbonate hardness, with protonisation and reduction of the carbonate hardness.

For the compensation of the carbonate hardness losses (or HCO₃⁻ losses) but also for the increasing of the carbonate hardness, the following measures are known:

- a) Addition of NaHCO₃ and/or Na₂CO₃ as powder or as solution. The process functions dependably but involves the following disadvantages:
 - In the case of NaHCO₃/Na₂CO₃ mixtures, it results in rapid pH increases in the maintenance water which lead to considerable stress of the organisms.
 - In waters with increased ammonium contents, parallel to the pH increase inter alia a lethal amount of ammonia is liberated.
 - The water solubility of NaHCO₃ is relatively low so that highly concentrated liquid products with convenient use are not possible.
- b) Addition of freshly prepared solutions which, besides dissolved calcium hydrogen carbonate, also contain much free CO₂. The excess CO₂ can lead to a rapid CO₂ damaging of the organisms. Besides the HCO₃ concentration, the Ca²⁺ concentration is here also increased, which is not always desired.

Furthermore, chemically and biologically caused losses of dissolved calcium hydrogen carbonate can bring about

undesired water changes. Due to CO₂ consumption and the pH increase connected therewith, the lime/carbonic acid equilibrium is displaced in the direction of lime precipitation. The disadvantageous loss of dissolved Ca(HCO₃)₂ leads to a corresponding lowering of the calcium concentration and of the HCO₃ concentration (carbonate hardness lowering).

For the compensation of the losses of Ca(HCO₃)₂ or its increasing, the following measures are known:

- a) Addition of solutions which, besides Ca(HCO₃)₂, still contain free CO₂. This measure is encumbered with the above-described disadvantages. A further disadvantage lies in the laboriousness of the process since the Ca(HCO₃)₂ solutions must be laboriously prepared by dissolving of CaCO₃ or Ca(OH)₂ in CO₂-enriched water. By addition of Mg(OH)₂ or MgCO₃•Mg(OH)₂ a solution can also be prepared which contains additional Mg(HCO₃)₂.
- b) Addition of solid mixtures which contain equivalent amounts of NaHCO₃ and soluble Ca, Mg salts (mostly chlorides). By dissolving of these mixtures in maintenance water, the ions Ca²⁺ + 2 Cl⁻ + 2 Na⁺ + 2 HCO₃⁻ are introduced. Besides the desired [Ca²⁺ + 2 HCO₃⁻], the water now contains the equivalent amount of NaCl (or also Na₂SO₄), which is undesired. The disadvantage of this process consists in the introduction of foreign salts, e.g. NaCl or Na₂SO₄.

Finally, a consumption of dissolved carbon dioxide also changes the water quality.

Algae, water plants and autotrophic microorganisms continuously require dissolved carbon dioxide. Besides the pH value thereby increased, a CO₂ deficiency situation also results which acts disadvantageously on chemical and biological processes.

For the compensation of the CO₂ deficiency, the following CO₂ addition measures are known:

- a) Addition of CO₂ gas from CO₂ pressure bottles.
Problematical in the case of this method are:
 - the difficultly adjustable and controllable dosing,
 - the price,
 - the safety risks which are involved with the pressure gas system,
- b) CO₂ production by anodic oxidation of a graphite electrode. The system contains the following disadvantages:
 - poor dosability,
 - CO₂ peaks due to secondary chemical processes on the cathode, involved with a strong decalcification,
 - formation of oxyhydrogen gas,
 - formation of chlorine in chloride-enriched waters.
- c) Production of CO₂ in external formation reactors. Here, too, serious, system-caused disadvantages exist, e.g.
 - strong temperature dependency of the fermentation process,
 - difficultly controllable process,
 - very poor dosing possibility and dosing constancy.

The various described problems initially appear to be heterogenous and not solvable with one principle.

Surprisingly, however, for all partial problems there exists a common solution which includes the following chemical and microbiological principles:

- Utilisation of the microbiological activity of the water and especially of the filter systems in the maintenance systems which include the aerobic and anaerobic processes.
- Use of components, products and compositions which are biologically decomposable in part or completely.
- Linkage of microbiological and chemical processes in the maintenance system.
- Use of components, products and compositions which not only fulfil the desired function but introduce no undesired additional materials or allow them to accumulate.
- Use of components, products and compositions which are completely safe for fish and other aquatic organisms.
- All products and methods behave ecologically neutral and lead to no secondary impairments of the water quality.
- All promoting functions are alone very simple to handle and make possible dosed water additions.

Thus, the subject of the invention is a process for the improvement of the water quality of biological maintenance systems, which is characterised in that to the maintenance system one adds singly or in combination

- a) for the lowering of the phosphate concentration, at least one easily or sparingly soluble Al^{3+} , Fe^{3+} , TiO^{2+} , ZrO^{2+} or Ca^{2+} salt of an organic carboxylic acid, possibly in admixture with an organic carboxylic acid;
- b) for the lowering of the nitrate concentration or limitation of the nitrate increase, at least one water-soluble N-free, biologically decomposable organic compound;
- c) for the increasing of the carbonate hardness or of the HCO_3^- concentration, at least one alkali metal or alkaline earth metal salt of an organic acid;
- d) for the increasing of the total hardness or of the concentration of Ca^{2+} and Mg^{2+} hydrogen carbonates, a mixture of at least one Ca^{2+} and Mg^{2+} salt of an organic carboxylic acid and for the increasing of the CO_2 concentration, at least one biologically decomposable compound.

Furthermore, the subject of the invention is a single or multiple component product for the improvement of the water quality of maintenance systems for the functional, causal use according to need, characterised by a content (singly or in combination) of

- 1.) at least one easily or sparingly soluble Al^{3+} , Fe^{3+} , TiO^{2+} , ZrO^{2+} or Ca^{2+} salt of an organic carboxylic acid, possibly in admixture with an organic carboxylic acid;

- 2.) at least one water-soluble, N-free biologically decomposable organic compound;
- 3.) at least one soluble alkali metal or alkaline earth metal salt of an organic carboxylic acid, and
- 4.) a mixture of at least one Mg^{2+} and Ca^{2+} salt of an organic carboxylic acid.

The consistent use and linking of the maintenance system as microbiological and chemical reactors for the achievement of the desired water improvement from simple added precursors is novel and also not obvious for the expert and, because of the simplicity, of the controllabl and commanding processes and of the complete absence of potentially damaging side effects and actions, brings considerable and innovative advantages in comparison with the solutions of the prior art. An especial advantage of the invention is also to be seen in the fact that it makes possible a separate or common solution of the described partial problems.

In the following, the detailed solutions according to the invention are described:

A) Lowering of the phosphate concentrations

This preferably takes place with salts of Al^{3+} , Fe^{3+} and TiO^{2+} or ZrO^{2+} with organic carboxylic acids, e.g. with their acetates, formates, tartrates and especially citrates, Besides the strongly phosphate-binding metal ions Al^{3+} , Fe^{3+} , TiO^{2+} , ZrO^{2+} , there can also be used calcium salts of organic carboxylic acids in similar manner, however with considerably

smaller phosphate elimination ability. Mixtures of salts of organic acids with the basic organic acids and other organic acids are also usable with the same effect, e.g.

aluminium citrate plus citric acid,

iron (III) citrate plus citric acid,

iron (III) citrate plus tartaric acid.

Furthermore, it is also possible to add sparingly-soluble salts of the said metals with organic acids in solid form (powder, granulate, tablets) as depot phosphate eliminators to the filter system or generally to the maintenance system.

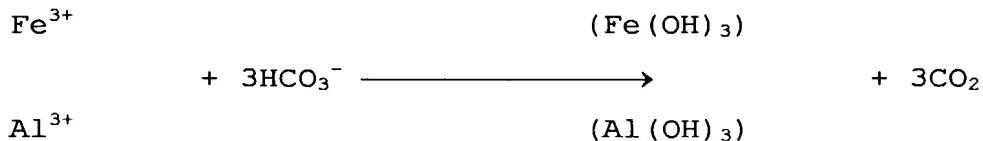
The principle is illustrated in the following for Al^{3+} and Fe^{3+} salts but applies correspondingly also for TiO^{2+} and ZrO^{2+} salts. If Al^{3+} and/or Fe^{3+} salts of carboxylic acids are added to the maintenance water, initially no flocculation and turbidity is observed. Only in the case of aerobic biological decomposition in the filter system according to



aerobic decomposition



In the case of direct subsequent formation of Al(OH)_3 or Fe(OH)_3 according to



is phosphate added on and precipitated out together with the hydroxides.

The metal hydroxides precipitated out with co-flocculated phosphate collect in the filter sludge and are eliminated in the case of the regular filter cleaning.

By means of regular addition of organic metal salts, e.g. as aqueous solution, to the maintenance water, the phosphate increase can be completely prevented.

In contradistinction to the phosphate precipitation with inorganic Al^{3+} or Fe^{3+} salts, the phosphate precipitation according to the invention contains serious and surprising advantages:

- no turbidity and flock formation results in the water,
- the process takes place substantially in the biologically-active filter system,
- the organic metal salts behave
 - toxicologically neutral,
 - ecologically neutral,
 - carbonate hardness neutral,
- no enriching foreign ions are added,
- by aerobic decomposition of carboxylic acid anions, only CO_2 is produced, which positively influences the CO_2 content or compensates the CO_2 consumption in part.

The resulting phosphate concentrations are typical for each metal:

for Fe citrate: about 0.0 - 0.2 mg/l,
for Al citrate: about 0.0 - 0.5 mg/l,
for Ca citrate: about 0.5 - 1.5 mg/l.

Very good phosphate elimination successes are achieved when, weekly or two weekly; 1 mg/l to 100 mg/l, preferably 10 mg/l to 40 mg/l aluminium citrate, iron citrate or their mixtures are added to the maintenance water. The phosphate elimination action is dependent upon the introduced amount of metal cation.

B) Lowering of the nitrate concentration or limitation of the NO₃ increase by N-free, soluble organic compounds.

If N-free, organic, decomposable substances are added regularly to the maintenance water, also without the presence of anaerobic reactors, the increase of the nitrate concentration is slowed down or limited and a nitrate concentration is achieved which levels out at an average level. Without treatment with these water additives according to the invention, the nitrate content increases ever further monotonously and unlimitedly. Since the reason for the hindered or limited nitrate increase lies in a partial denitrification in the anaerobic microregions in the filter, parallel to the slowing down, limitation of the nitrate increases, the nitrification-caused loss of carbonate hardness (HCO₃⁻ concentration) is also inhibited or limited.

As nitrate-reducing, water-soluble compounds, in principle all biologically decomposable organic compounds can be used

but preferably aliphatic compounds, such as for example alcohols; e.g. glycerol, sorbitol, ethanol, sugars, e.g. pentoses, hexoses, saccharose, carboxylic acids, e.g. acetic Acid, citric acid, lactic acid and tartaric acid. Combinations of in each case equal part amounts of citric acid and saccharose or acetic acid and saccharose have also proved to be very useful.

If, to the maintenance water, one adds three times a week or every two days 5 - 100 mg/l, preferably 5 - 40 mg/l of the acid compounds or mixtures, then the nitrate increase is slowed down and, in relation to the selected dosing, definite nitrate highest concentrations are no longer exceeded.

Dosing examples for the combination citric acid/saccharose are:

- a) 3 dosings per week with 10 mg/l [citric acid plus saccharose (1:1)]: nitrate limiting concentration: 60 - 80 mg/l
- b) 3 dosings per week with 20 mg/l [citric acid plus saccharose (1:11): 40 mg/l.

In the case of higher dosings, e.g. 60 - 100 mg/l three times weekly or more frequent lower dosings, e.g. daily 10 mg/l, the nitrate limitating concentration can be lowered still further, e.g. to 5 - 10 mg/l NO₃⁻.

Parallel to the NO_3^- stabilisation, there is also achieved a stabilisation of the carbonate hardness at minimum values below which the carbonate hardness does not sink further.

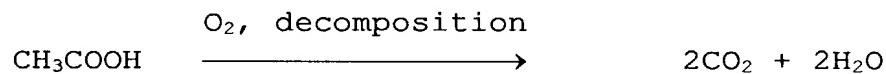
The added compounds are completely broken down to H_2O and CO_2 . The CO_2 formed is used by plants, algae and nitrifying bacteria as C-source.

By introduction of an aeration, the CO_2 concentration, can be corrected downwardly according to need.

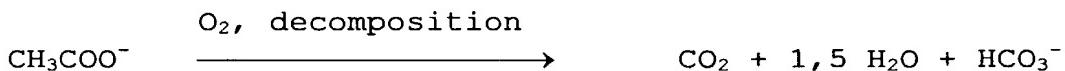
C) Increasing of the carbonate hardness or of the HCO_3^- concentration

In the case of the solution according to the present invention, one makes use of the following microbiological/chemical principle with the use of Na^+ , Ca^{2+} , Mg^{2+} and Sr^{2+} salts of aliphatic carboxylic acids, such as e.g. acetic acid, lactic acid, citric acid, tartaric acid, formic acid, propionic acid, malic acid and the like.

If carboxylic acids, e.g. acetic acid, are decomposed microbiologically, there results only H_2O and CO_2 :



If, on the other hand, one subjects salts of the carboxylic acids to the microbiological decomposition, then, besides CO_2 corresponding to the number of the introduced negative charges of the anions, hydrogen carbonate is also formed:



By the introduction of salts of carboxylic acids into the maintenance water, after biological decomposition, the hydrogen carbonates are formed.

On the example for sodium hydrogen carbonate from organic sodium salts, e.g. Na acetate, Na citrate, this may not act very spectacularly since NaHCO_3 itself is easily accessible. However, even here, in the case of liquid composition, the great advantage exists of the mostly - in comparison with NaHCO_3 - very high solubility, for example Na acetate which permit high product concentrations and ranges.

A further advantage of the use of organic Na salts instead of NaHCO_3 or Na_2CO_3 consists in the pH neutral use:

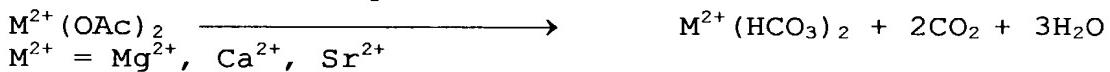
- The Na salt of organic acids acts pH neutral, with excess carboxylic acid(s) can even be adjusted acidic in the product. This is naturally not possible with NaHCO_3 or Na_2CO_3 .
- In the case of biological decomposition (apart from in the case of formates), CO_2 still results which also counters a pH increase.

The advantages of the problem solution according to the invention are still better recognisable when one considers the introduction of the hydrogen carbonates of the alkaline earth metal, Mg^{2+} , Ca^{2+} , Sr^{2+} which, as known, are not available as substances. By addition of the soluble Mg^{2+} , Ca^{2+} , Sr^{2+} salts of organic carboxylic acids, the desired

concentrations of the hydrogen carbonates can be built up in the maintenance water without problems.

Example: (acetate)

O₂, decomposition



The dosing is orientated to the desired adjustment or increase of the carbonate hardness or of the HCO₃⁻ concentration. 1 mMol/l Na salt of organic carboxylic acids increases the carbonate hardness by 2.8°dH, 1 mmol/l Mg²⁺, Ca²⁺, Sr²⁺ salts of organic carboxylic acids increases the carbonate hardness by 5.6°dH.

The alkali metal or alkaline earth metal salts of the organic carboxylic acids can be added to the maintenance water in solid form (powder, granulate, tablets) or in the form of aqueous solutions.

As carboxylic acids, there can be used:

a) for Na⁺ salts:

practically all aliphatic carboxylic acids, especially acetic acid, lactic acid, citric acid, tartaric acid and the like.

b) for Mg²⁺ salts:

practically all aliphatic carboxylic acids, especially acetic acid, lactic acid, citric acid, tartaric acid and the like.

c) for Ca²⁺ salts:

all aliphatic carboxylic acids which form water-soluble Ca²⁺ salts, especially formic acid, acetic acid, propionic acid, lactic acid, malic acid and the like.

d) for Sr²⁺ salts:

all aliphatic carboxylic acids which form water-soluble Sr²⁺ salts, especially formic acid, acetic acid, propionic acid, lactic acid, malic acid and the like.

D) Increasing of the total hardness or of the concentration of Ca²⁺ and Mg²⁺ hydrogen carbonates

The principle of this problem solution according to the invention and all important details of use were described under C). The advantages of the method and of the composition are:

- very simple and sure, defined adjustment and increase of the total hardness,
- problem-free preparation and use of product compositions, especially liquid solutions,
- no introduction of undesired foreign ions,
- easy adjustment of all desired Mg:Ca ratios from $\infty:1$ to $1:\infty$.

- only controlled amounts of CO₂ are produced which serve for the C-supply for plants, algae and autotrophic micro-organisms.
- besides the here-described Mg²⁺ and Ca²⁺ hydrogen carbonates formed from organic salts, other inorganic Mg²⁺, Ca²⁺ salts, such as e.g. chlorides or sulphates, can also be added in combination so that every possible or required chemical composition of the total hardness can be realised.

E) Increasing of the CO₂ concentration

In the preceding problem solutions A) to D), it has already been described that, In the case of the biological breakdown of organic compounds, CO₂ is formed in the maintenance system. This can be built up to an internal, microbiologically-working CO₂ supply system. A continuous and sufficient but still not organism-damaging supply of CO₂ to the maintenance water fulfills various important functions:

- carbon fertilising of the plant organisms,
- carbon supplying of the autotrophic micro-organisms, especially of the nitrificants,
- prevention of the pH increase caused by CO₂ consumption,
- adjustment of a definite pH value by adjustment of the HCO₃⁻/CO₂ acid-base equilibrium,

- intervention in the lime/CO₂ equilibrium and prevention of the chemical and biological lime precipitation.

It has been shown that CO₂ concentrations between 1 and 25 mg/l, preferably 5 - 15 mg/l, lie in the optimum range. Potential CO₂ damagings of fish and other water organisms do not occur here. Since CO₂ is continuously used up in the maintenance system and losses emerge into the atmosphere, CO₂ must be dosed in the correct amount to the maintenance water.

This can be achieved very easily by a daily or every two day dosing to be carried out of biologically decomposable organic compounds, e.g. of aliphatic organic carboxylic acids, alcohols and sugars. The following compounds have proved to be especially useful:

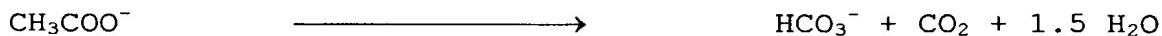
- a) carboxylic acids: formic acid, oxalic acid, acetic acid, lactic acid, citric acid, malic acid, tartaric acid,
 - b) alcohols: ethanol, glycerol, sorbitol,
 - c) sugars: pentoses, hexoses, saccharose.

If one doses the carboxylic acids alone, then, in a chemical reaction, from the hydrogen carbonate supply, the equivalent CO₂ amount is immediately liberated:



In the case of the subsequent biological breakdown of the carboxylic acid anion, the consumed hydrogen carbonate is

slowly again produced (within a few hours up to 24 hours) and further CO₂ formed:



Consequently, carboxylic acids produce CO₂ in a stepped process:

- a) in a secondary reaction by, protonisation of HCO₃⁻,
- b) in a reaction lasting a few hours up to 24 hours by oxidative biological breakdown.

Alcohols and sugars added to the maintenance system are subsequently broken down to H₂O and CO₂ in a relatively slow microbiological reaction.

By choice of combinations of different C-sources with different speeds of the CO₂ liberation, a very uniform CO₂ introduction can be achieved, e.g. by the combination of citric acid and saccharose or acetic acid and saccharose. The individual compounds or combinations are dosed as follows:

daily 1 - 20 mg/l, preferably 3 - 10 mg/l or also every 2 days 2 - 40 mg/l, preferably 6 - 20 mg/l.

The water improvement agent according to the invention can be used for the individually defined function purposes of use in all biological maintenance systems, such as e.g.

- aquaria (warm water, cold water, fresh water, salt water),
- garden ponds, koi ponds,
- aquaterrains,
- large aquaria (zoos, public aquaria).

The agent according to the invention is made available in the form of individual component products or a multiple component product, e.g. as packing for 100 to 1000 l of maintenance system, preferably as aqueous concentrate. The individual components 1.) to 4.) in the concentrate can hereby be combined in the amounts corresponding to the previously mentioned dosage recommendations. However, the individual components can also be packed individually or in compatible mixture in the single dose corresponding to the dosage amount or in larger amounts in solid form, e.g. as powder, granulates, extrudates, pearls, capsules or in tablet or liquid form. In this form, the individual partial problems can be solved individually or in any desired combination by addition of individual components or mixtures. Exact statements about the dosing of the concentrate individual components or mixtures are to be found in the packaging or on the leaflet in the packaging.

The dosing frequency is given from the functional use. It extends from daily over every two days and once or twice a week to once per two weeks or according to need.

Additional treatments in the case of use of the products according to the invention:

Since, in the case of the described mostly oxidative breakdown processes, the amount of oxygen necessary for the complete breakdown is used up, expediently, besides the use of the water treatment agent according to the invention, an additional treatment is carried out. Thus, it can be necessary - since the oxygen of the maintenance water standing in equilibrium with the atmosphere is limited to about 8 - 10 mg/l (15 - 25°C) - to introduce oxygen during the water treatment in order not to bring about an O₂ deficiency situation.

By means of permanent mild fine-bubble aeration or addition of an amount of hydrogen peroxide equivalent to the O₂ requirement, the described water treatment is also oxygen-neutral and thus environmentally neutral.

Patent Claims

1. Process for the improvement of the water quality of biological maintenance systems, characterised in that one adds to the maintenance system individually or in any desired combination
 - a) for the lowering of the phosphate concentration, at least one easily or sparingly soluble Al^{3+} , Fe^{3+} , TiO^{2+} , ZrO^{2+} or Ca^{2+} salt of an organic carboxylic acid, possibly in admixture with an organic carboxylic acid;
 - b) for the lowering of the nitrate concentration or limitation of the nitrate increase, at least one water-soluble N-free, biologically decomposable organic compound;
 - c) for the increasing of the carbonate hardness or of the HCO_3^- concentration, at least one alkali metal or alkaline earth metal salt of a carboxylic acid;
 - d) for the increasing of the total hardness or of the concentration of Ca^{2+} and Mg^{2+} hydrogen carbonate, a mixture of at least one Ca^{2+} and Mg^{2+} salt of an organic carboxylic acid; and
 - e) for the increasing of the CO_2 concentration,, at least one biologically decomposable compound.

2. Process according to claim 1, characterised in that, for the lowering of the phosphate concentration, one adds an Al^{3+} , Fe^{3+} , TiO^{2+} , ZrO^{2+} and/or Ca^{2+} acetate, formate, tartrate and/or especially citrate.
3. Process according to one of claims 1 and 2, characterised in that one adds weekly or two weekly 1 to 100, preferably 10 to 40 mg/l aluminium and/or iron (III) citrate.
4. Process according to claim 1, characterised in that, for the lowering of the nitrate concentration or limiting of the nitrate increase, one adds at least one aliphatic compound, e.g. an alcohol, a sugar or a carboxylic acid.
5. Process according to claim 4, characterised in that one adds glycerol, sorbitol or ethanol, a pentose, a hexose or saccharose or acctic, citric, tartaric or lactic acid.
6. Process according to claim 4, characterised in that one adds a mixture of citric or acetic acid and saccharose or especially a mixture of citric acid, tartaric acid and saccharose.
7. Process according to one of claims 4 to 6, characterised in that every second day or three times weekly one adds 15 to 100, preferably 5 to 40 mg/l of the compound or the mixture.
8. Process according to claim 1, characterised in that, for the increasing of the carbonate hardness or of the HCO_3^-

concentration, one adds at least one alkali metal or alkaline earth metal salt of an aliphatic carboxylic acid.

9. Process according to claim 8, characterised in that one adds an alkali metal or alkaline earth metal salt of citric, acetic, lactic, tartaric, formic, propionic or malic acid.
 10. Process according to claim 8, characterised in that one adds alkali metal and/or alkaline earth metal salt so much and so often that the desired carbonate hardness is achieved and remains maintained.
 11. Process according to claim 1, characterised in that for the increasing of the total hardness or of the concentration of Ca^{2+} and Mg^{2+} hydrogen carbonates, one adds a mixture of Ca^{2+} and Mg^{2+} salts of organic carboxylic acids.
 12. Process according to claim 11, characterised in that for the desired control of the composition of the total hardness, one adds further Ca^{2+} and Mg^{2+} chloride and/or sulphate.
 13. Process according to claim 1, characterised in that for the increasing of the CO_2 concentration, one adds daily or every two days a carboxylic acid and/or an alcohol and/or a sugar.

14. Process according to claim 13, characterised in that one adds glycerol, sorbitol or ethanol, a pentose, a hexose or saccharose or acetic, citric or lactic acid.
15. Process according to one of claims 13 and 14, characterised in that one adds daily 1 to 20, preferably 3 to 10 mg/l or every two days 2 to 40, preferably 6 to 20 mg/l of the biologically decomposable compound or a mixture of such compounds.
16. Process according to claim 1, characterised in that one additionally introduces an amount of oxygen or hydrogen peroxide equivalent to the O₂ requirement of the maintenance system.
17. Single or multiple component product for the improvement of the water quality of biological maintenance systems for the functional, causal use according to need, characterised by a content (singly or in combination) of
 - 1) at least one easily or sparingly soluble Al³⁺, Fe³⁺, TiO²⁺, ZrO²⁺ or Ca²⁺ salt of an organic carboxylic acid, possibly in admixture with an organic carboxylic acid;
 - 2) at least one water-soluble, N-free biologically decomposable organic compound;
 - 3) at least one alkali metal or alkaline earth metal salt of an organic carboxylic acid; and

- 4) a mixture of at least one Mg^{2+} and Ca^{2+} salt of an organic carboxylic acid.
18. Single or monocomponent product according to claim 17, containing singly or in combination
- 1) an Al^{3+} , Fe^{3+} , TiO^{2+} , ZrO^{2+} and/or Ca^{2+} acetate, formate, tartrate and/or especially citrate;
 - 2) glycprol, sorbitol or ethanol, a pentose, a hexose or saccharose or acetic, citric, tartaric or lactic acid;
 - 3) an alkali metal or alkaline earth metal salt of citric, acetic, lactic, tartaric, formic, propionic or malic acid; and
 - 4) a Ca^{2+} or Mg^{2+} salt or a mixture of Ca^{2+} and Mg^{2+} salts of organic carboxylic acids, possibly in admixture with Ca^{2+} and Mg^{2+} chlorides and/or sulphates.

Summary

A process is described for the improvement of the water quality or correction and adjustment of important chemical water parameters of biological maintenance systems, such as aquaria (warm water, cold water, fresh water, salt water), garden ponds, koi ponds, aquaterrains and large aquaria (zoos, public aquaria) in which one adds singly or in any desired combination

- a) for the lowering of the phosphate concentration, at least one easily or sparingly soluble Al^{3+} , Fe^{3+} , TiO^{2+} , ZrO^{2+} or Ca^{2+} salt of an organic carboxylic acid, possibly in admixture with an organic carboxylic acid;
- b) for the lowering of the nitrate concentration or limitation of the nitrate increase, at least one water-soluble, N-free, biologically decomposable organic compound;
- c) for the increasing of the carbonate hardness or of the HCO_3^- concentration, at least one alkali metal or alkaline earth metal salt of an organic carboxylic acid;
- d) for the increasing of the total hardness or of the concentration of Ca^{2+} and Mg^{2+} hydrogen carbonate, a mixture of at least one Ca^{2+} and Mg^{2+} salt of organic carboxylic acids; and
- e) for the increasing of the CO_2 concentration, at least one biologically decomposable compound and a single or multiple component product thereby usable.

Docket No. 6235-16-DCL



**COMBINED DECLARATION AND POWER
OF ATTORNEY IN ORIGINAL APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name; and that

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE: PROCESS FOR THE IMPROVEMENT OF THE WATER QUALITY OF MAINTENANCE WATERS

the specification of which

Application Number 10/088,049 or PCT International Application No. _____ and was amended on _____ (if applicable).
(MM/DD/YY)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or PCT International application having a filing date prior to that of the application on which priority is claimed.

Prior Foreign Application(s) (if any):

Number Country Filing Date Priority Not Claimed

Docket No. 6235-16-DCL

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
<u>None</u>	(MM/DD/YY)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or the PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which become available between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Filing Date	Status (Patented)
<u>PCT/EP00/07980</u>	<u>August 16, 2000</u> (MM/DD/YY)	<u>Pending</u>

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Docket No. 6235-16-DCL

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further, that these statements were made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, pursuant to 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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first inventor (given name,
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First Inventor's signature

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